

TEXAS GENERAL LAND OFFICE AND VETERANS LAND BOARD



Revised Fiscal Year 2019 Internal Audit Plan

The following revised audit plan is designed to maximize the coverage of overall agency risks with the available resources. The plan document also lists the follow-up projects, other special projects and annual reports that are part of our activities.

Following is the revised FY2019 Audit Plan:

FY2019 AUDIT PLAN			
No.	Audit Title	Division(s)	Program Area(s)
1	(19-01) Audit of Beach Watch Quality Management Plan	Coastal Protection	Coastal Resources
2	(19-02) Audit of Coastal Resources – Grants Management	Coastal Protection	Coastal Resources
3	(19-03) Management Assistance of Information Technology and Information Security Governance	Enterprise Technology Solutions	Enterprise Technology Solutions Office of Information Security
4	Audit of the Procurement and Pre-Contracting Processes for Hurricane Harvey Recovery Efforts	Financial Management Contract Management Community Development & Revitalization Program	Disaster Recovery Finance Disaster Procurement FEMA & CDBG-DR Funded Contracts
5	Audit of Information Technology Compliance	Enterprise Technology Solutions	Enterprise Technology Solutions
6	Co-Sourced Audit of Third-Party Performance and Compliance for the PREPs Program (Solicitation No. X0017559-MA)	Community Development & Revitalization Program	Federal Emergency Management Agency (FEMA) Partial Repair & Essential Power for Sheltering (PREPS)
7	Co-Sourced Audit of Program Cash Management for the PREPs Program (Solicitation No. X0017559-MA)	Community Development & Revitalization Program	Federal Emergency Management Agency (FEMA) Partial Repair & Essential Power for Sheltering (PREPS)

FY2019 AUDIT PLAN			
No.	Audit Title	Division(s)	Program Area(s)
8	Co-Sourced Audit of Program Vendor Management of the DALHR Program (Solicitation No. X0017560-VS)	Community Development & Revitalization Program	Federal Emergency Management Agency (FEMA) Direct Housing Programs
9	Co-Sourced Audit of Program Vendor Management of the Temporary Housing Program (Solicitation No. X0017560-VS)	Community Development & Revitalization Program	Federal Emergency Management Agency (FEMA) Direct Housing Programs
10	Co-Sourced Audit of Program Cash Management for the Direct Housing Programs (Solicitation No. X0017560-VS)	Community Development & Revitalization Program	Federal Emergency Management Agency (FEMA) Direct Housing Programs
11	Co-Sourced Risk Assessment and Audit Plan Development for CDBG-DR Programs (Solicitation No. X0016894-VS)	Community Development & Revitalization Program	Community Development Block Grant-Disaster Recovery Program (CDBG-DR)
12	Co-Sourced Information Technology Risk Assessment and Auditing Services for CDBG-DR Programs (Solicitation No. X0016781-RS)	Community Development & Revitalization Program	Community Development Block Grant-Disaster Recovery Program (CDBG-DR)
Optional	Audit of Agency Fixed Assets Inventory Process	Financial Management	Building & Support Business Services

PLANNED FY2019 FOLLOW-UP REVIEWS		
Audit Follow-Up Title	Division(s)	Program Area(s)
Review of Controls – The Alamo (2012)	Financial Management	Cash Management
Audit of Compliance with Texas Administrative Code (TAC) 202 (2013)	Enterprise Technology Services Information Security	Integrated Services Information Security Officer
Audit of Health Insurance Portability and Accountability Act (HIPAA) (2015)	Information Security	Chief Privacy Officer
Audit of the Veterans Land Board (VLB), Veterans Homes Operations Contract (2016)	Veterans' Land Board	Veterans Homes
Audit of Compliance with Texas Administrative Code (TAC) 202 (2016)	Information Security Enterprise Technology Services	Information Security Officer Integrated Services
Audit of the Alamo Accounting Processes (2016)	The Alamo Complex Financial Management	Alamo Complex Management (ACM) Revenue Administration/ Alamo
Audit of the Veteran Cemetery Operations (2017)	Veterans' Land Board	Veteran Cemeteries
Audit of the TRECS Application (2017)	Community Development & Revitalization Program	Community Development Block Grants (CDBG)
Audit of the PSF Externally Managed Portfolio (2017)	Investment Management	Real Assets Portfolio Management & External Funds Management
Audit of Royalty Audit Processes (2018)	Energy Resources	Royalty Audit

PLANNED FY2019 FOLLOW-UP REVIEWS		
Audit Follow-Up Title	Division(s)	Program Area(s)
External Projects		
SAO - Contract & Financial Management Processes Audit (2015)	Financial Management	Various
SAO - Permanent School Fund (PSF) FY 2015 Financial Statements Audit (2016)	Investment Management / Financial Management	Various

FY2019 MANAGEMENT ASSISTANCE / SPECIAL PROJECTS	
Project Title	Division/Program Area
Peer Quality Assurance Review	Internal Audit
Annual Risk Assessment and Internal Audit Plan	Internal Audit
Annual Report on Internal Audit (SAO)	Internal Audit
Annual Report on the Internal Audit Quality Assurance and Improvement Program	Internal Audit
Special Projects/Investigations	Internal Audit
Liaison for external audits and oversight entities.	Internal Audit
Management Assistance & Other Activities	Internal Audit